

| | |
|--|--|
| AUCTION OPERATOR AND CONSIGNMENT BROKER | Each operator of an auction sale and brokers to whom animals are consigned must make, keep and maintain records which contain all the required information on each animal consigned for auction or sold, whether or not a fee or commission is charged. [2.76] |
| Criteria | <p>Records of all animals must contain the following information: [2.76(a)]</p> <ul style="list-style-type: none">• name and complete address of the person who owned or consigned the animal• name and complete address of the buyer or consignee who received the animal• USDA license or registration number if seller/buyer/consignor/consignee is USDA licensed or registered• vehicle license number and driver's license number and State of issuance of each if seller/buyer/consignor/consignee is not USDA licensed or registered (see below)• date of consignment• description of the animal• species and breed or type• sex of the animal• date of birth or approximate age• color and any distinctive markings• auction sales number or records number assigned to the animal <p>If the vehicle license number and driver's license number cannot be obtained, the record must contain:</p> <ul style="list-style-type: none">• an acceptable reason for not obtaining this information, and• at least two of the following:<ul style="list-style-type: none">▶ social security number▶ phone number▶ directions to the premises of the seller/buyer/consignor/consignee▶ official identification card number |

| | |
|--------------------------------------|---|
| | <p>A copy of the record containing all the information listed above must be: [2.76(b)]</p> <ul style="list-style-type: none"> • kept by the operator of the auction sale or broker • given to the consignor of each animal • given to the purchaser of each animal <p>NOTE: Record given to the purchaser does not have to contain the source and date of consignment of the animal.</p> <p>Records must be held for 1 year after an animal is disposed of or euthanized. [2.80(b)]</p> <p>Records must be kept and maintained for more than 1 year if: [2.80(b)]</p> <ul style="list-style-type: none"> • necessary to comply with any applicable Federal, State, or local law • the APHIS Administrator notifies the dealer in writing that specified records must be retained pending completion of an investigation [2.80(b)] <p>Records must be available for inspection by an APHIS official. [2.126(a)(2)]</p> |
| Species Specific | <p>Dogs & Cats</p> <p>Records for dogs and cats must contain the official USDA tag or tattoo number of the animal. [2.76(a)(6)]</p> |
| Acquisition Records Follow-up | <p>A person consigning a regulated animal to an auction market may or may not require a USDA dealer’s license.</p> <p>Consignment of regulated animals to an auction is not sufficient cause alone for requiring a license, since the person does not know for what purpose the animal is being sold.</p> <p>The inspector should:</p> <ul style="list-style-type: none"> • collect the names/addresses of persons consigning regulated animals to the auction • as time permits, conduct a search to determine if the person is conducting any regulated activities |

